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<b>TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371</b>		ATTORNEY'S DOCKET NUMBER <b>133697-0006</b>
INTERNATIONAL APPLICATION NO. <b>PCT/CN2004/000234</b>	INTERNATIONAL FILING DATE <b>22 MARCH 2004</b>	U.S. APPLICATION NO. (Unknown State) CFR 1.5 <b>107549987</b>
<b>TITLE OF INVENTION</b> <b>COENZYME Q10 CONTAINING PROLIPOSOME AND PREPARATION THEREOF</b>		
<b>APPLICANT(S) FOR DO/EO/US</b> <b>Jianming CHEN, Shen GAO, Huijiang LI, Huifen LIN, Shaomin WEI, Yangmei ZHANG, Luo LU, Yanqiang ZHONG, Qing SHI, Yiguang GUO, Fei GUAN, Wei WANG, Laiji MA, Juan GU</b>		
<p>Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:</p> <ol style="list-style-type: none"> <li>1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a submission under 35 U.S.C. 371.</li> <li>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a submission under 35 U.S.C. 371.</li> <li>3. <input type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.</li> <li>4. <input type="checkbox"/> The US has been elected (Article 31).</li> <li>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371 (c)(2)) <ul style="list-style-type: none"> <li>a. <input checked="" type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).</li> <li>b. <input type="checkbox"/> has been communicated by the International Bureau.</li> <li>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</li> </ul> </li> <li>6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. <ul style="list-style-type: none"> <li>a. <input checked="" type="checkbox"/> is attached hereto.</li> <li>b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).</li> </ul> </li> <li>7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)) <ul style="list-style-type: none"> <li>a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).</li> <li>b. <input type="checkbox"/> have been communicated by the International Bureau.</li> <li>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</li> <li>d. <input type="checkbox"/> have not been made and will not be made.</li> </ul> </li> <li>8. <input checked="" type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</li> <li>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</li> <li>10. <input type="checkbox"/> An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).</li> </ol> <p><b>Items 11 to 20 below concern document(s) or information</b></p> <ol style="list-style-type: none"> <li>11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</li> <li>12. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</li> <li>13. <input checked="" type="checkbox"/> A preliminary amendment.</li> <li>14. <input type="checkbox"/> An application Data Sheet under 37 CFR 1.76.</li> <li>15. <input type="checkbox"/> A substitute specification.</li> <li>16. <input checked="" type="checkbox"/> A power of attorney and/or change of address letter.</li> <li>17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.</li> <li>18. <input checked="" type="checkbox"/> A second copy of the published International Application under 35 U.S.C. 154(d)(4).</li> <li>19. <input type="checkbox"/> A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).</li> <li>20. <input checked="" type="checkbox"/> Other items or information: <b>Substitute Specifications (Clean Copy and Marked Up Copy)</b> <b>Fee Transmittal</b></li> </ol>		

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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U.S. APPLICATION NO. (if known, see 37 CFR <b>10/549987</b>		INTERNATIONAL APPLICATION NO. PCT/CN2004/000234		ATTORNEY'S DOCKET NUMBER <b>133697-0006</b>	
The following fees are submitted:				CALCULATIONS	
21. <input checked="" type="checkbox"/> Basic national fee .....				\$ <b>\$300.00</b>	
22. <input checked="" type="checkbox"/> Examination fee If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4). .... All other situations. ....				\$ <b>\$200.00</b>	
23. <input checked="" type="checkbox"/> Search fee Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority .... International Search Report prepared and provided to the Office .... All other situations. ....				\$ <b>\$400.00</b>	
<b>TOTAL OF 21, 22 and 23 =</b>				\$ <b>\$900.00</b>	
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is <b>\$250</b> for each additional 50 sheets of paper or fraction thereof.					
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole)		RATE	
- 100 =	0 /50 =	0		x <b>\$250.00</b> \$ <b>\$0.00</b>	
Surcharge of <b>\$130.00</b> for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(h)).				\$	
CLAIMS	NUMBER FILED	NUMBER EXTRA		RATE	
Total claims	<b>18</b>	- 20 = 0		x <b>\$50.00</b> \$ <b>\$0.00</b>	
Independent claims	<b>1</b>	- 3 = 0		x <b>\$200.00</b> \$ <b>\$0.00</b>	
Multiple Dependent Claims (if applicable) <input type="checkbox"/>				+ <b>\$360.00</b> \$	
<b>TOTAL OF ABOVE CALCULATIONS</b>				= <b>\$900.00</b>	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$ <b>\$0.00</b>	
				<b>SUBTOTAL</b> = <b>\$900.00</b>	
Processing fee of <b>\$130.00</b> for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).				\$	
<b>TOTAL NATIONAL FEE</b>				= <b>\$900.00</b>	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). <b>\$40.00</b> per				+ <b>\$40.00</b>	
<b>TOTAL FEES ENCLOSED</b>				= <b>\$940.00</b>	
				<b>Amount to be refunded:</b> \$	
				<b>Amount to be charged:</b> \$	
<p>a. <input type="checkbox"/> A check in the amount of _____ to cover the above fees is enclosed.</p> <p>b. <input checked="" type="checkbox"/> Please charge my Deposit Account <b>12-2136</b> in the amount of <b>\$940.00</b> to cover the above fees. A duplicate copy of this sheet is enclosed.</p> <p>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account <b>12-2136</b>. A duplicate copy of this sheet is enclosed.</p> <p>d. <input type="checkbox"/> Fees are to be charged to a credit card. <b>WARNING: Information on this form may become public. Credit card information should not be included on this form.</b> Provide credit card information and authorization on PTO-2038.</p>					
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.					
SEND ALL CORRESPONDENCE TO:					
<p>Michael S. Gzybowski BUTZEL LONG 350 South Main Street Suite 300 Ann Arbor, MI 48104</p> <p> SIGNATURE</p> <p><b>Michael S. Gzybowski</b> NAME</p> <p><b>32,816</b> REGISTRATION NUMBER</p>					

Doc Code:

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Effective on 12/08/2004.		<b>Complete if Known</b>	
Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).		Application Number	Unknown <b>101549987</b>
<b>FEES TRANSMITTAL</b>		Filing Date	09/19/2005
<b>for FY 2005</b>		First Named Inventor	Jianming CHEN, et al
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		Examiner Name	Unknown
<b>TOTAL AMOUNT OF PAYMENT</b> (\$)		Art Unit	Unknown
\$940.00		Attorney Docket No.	133697-0006

**METHOD OF PAYMENT** (check all that apply)

Check  Credit Card  Money Order  None  Other (please identify): \_\_\_\_\_

Deposit Deposit Account Number: 12-2136 Deposit Account Name: BUTZEL LONG

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

Charge fee(s) indicated below  Charge fee(s) indicated below, except for the filing fee

Charge any additional fee(s) or any underpayment of fee(s) under 37 CFR 1.16 and 1.17  Credit any overpayments

**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

**FEE CALCULATION****1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

<u>Application Type</u>	<u>FILING FEES</u>		<u>SEARCH FEES</u>		<u>EXAMINATION FEES</u>		
	<u>Fee (\$)</u>	<u>Small Entity</u>	<u>Fee (\$)</u>	<u>Small Entity</u>	<u>Fee (\$)</u>	<u>Small Entity</u>	<u>Fees Paid (\$)</u>
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

**2. EXCESS CLAIM FEES**Fee Description

Each claim over 20 (including Reissues)

Small EntityFee (\$)

50 25

Each independent claim over 3 (including Reissues)

200 100

Multiple dependent claims

360 180

Total ClaimsExtra ClaimsFee (\$)Fee Paid (\$)Fee (\$)Fee Paid (\$)

18 - 20 or HP = 0 x \$5.00 = \$0.00

HP = highest number of total claims paid for, if greater than 20.

Indep. ClaimsExtra ClaimsFee (\$)Fee Paid (\$)Fee (\$)Fee Paid (\$)

1 - 3 or HP = 0 x \$200.00 = \$0.00

HP = highest number of independent claims paid for, if greater than 3.

**3. APPLICATION SIZE FEE**

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listing under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

<u>Total Sheets</u>	<u>Extra Sheets</u>	<u>Number of each additional 50 or fraction thereof</u>	<u>Fee (\$)</u>	<u>Fee Paid (\$)</u>
_____	- 100 =	0 / 50 0 (round up to a whole)	x \$250.00	\$0.00

**4. OTHER FEE(S)**

Non-English specification, \$130 fee (no small entity discount)

Other (e.g., late filing surcharge): **BASIC NATIONAL PHASE FILING FEE and RECORDATION FEE**

\$940.00

**SUBMITTED BY**

Signature		Registration No. (Attorney/Agent)	32,816	Telephone	734.995.3110
Name (Print/Type)	Michael S. Gzybowski			Date	09/19/2005

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

10/549987

ATTORNEY'S DOCKET NO.: 133697-0006  
JC17 Rec'd PCT/PTO 19 SEP 2005  
"EXPRESS MAIL" COVER LETTER  
U.S. PATENT APPLICATION

Date: September 19, 2005

Mail Stop PCT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Re: APPLICANTS: Jianming CHEN, Shen GAO, Huiyang LI, Huisen LIN, Shaomin WEI, Yangmei ZHANG  
Luo LU, Yanqiang ZHONG, Qing SHI, Yiguang GUO, Fei GUAN, Wei WANG,  
Laiji MA, Juan GU

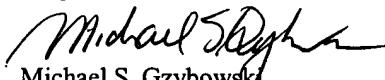
TITLE OF INVENTION: COENZYME Q<sub>10</sub> CONTAINING PROLIPOSOME AND PREPARATION THEREOF

Sir:

Forwarded herewith is the above-identified application, consisting of the following:

Express Mail Cover Letter  
Certificate of Mailing by "Express Mail"  
Transmittal Letter to the U.S. Designated/Elected Office Concerning a Filing Under 35 U.S.C. 371 (PCT-1390/PCT/US1) (2 pgs) - In Duplicate  
PCT Publication of International Application No. PCT/CN2004/000234 (Publication No. PCTWO2004/082668 A1) (14 pgs)  
Translation of International Application No. PCT/CN2004/000234 (Publication No. PCTWO2004/082668 A1) into English Including Abstract,  
Specification, and Claims (12 pgs)  
Substitute Specification - Clean Copy (9 pgs)  
Substitute Specification - Marked-Up Copy (11 pgs)  
Preliminary Amendment (7 pgs)  
PCT/USA National Declaration and Power of Attorney (5 pgs)  
Written Opinion of the International Searching Authority in Chinese (PCE/ISA/237) (3 pgs)  
International Preliminary Report on Patentability, together with the Notification of Transmission  
(form PCT/IPEA/416 and form PCT/IPEA/409) in Chinese (4 pgs)  
Information Concerning Elected Offices Notified of Their Election (Form PCT/IB/332) (1 pg)  
Recordation Form Cover Sheet and Addendum (2 pgs)  
Assignment Document (2 pgs)  
Information Disclosure Statement (2 pgs)  
Information Disclosure Statement (PTO-1449) with Cited References  
Fee Transmittal (1 pg)  
Return Post Card

Respectfully submitted,



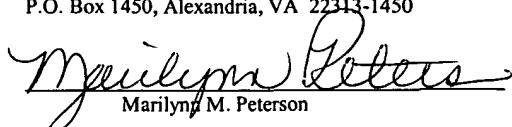
Michael S. Gzybowski  
Reg. No. 32,816  
Attorney for Applicant

BUTZEL LONG  
350 South Main Street  
Suite 300  
Ann Arbor, MI 48104  
734-995-3110 (ph)

"EXPRESS MAIL" Mailing No. EV 468352253 US

Date of Deposit: September 19, 2005

I hereby certify that this paper is being deposited with  
The United States Postal Service "EXPRESS MAIL  
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Marilyn M. Peterson

## CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)

Applicant(s): Jianming CHEN, et al

JC17 Rec'd

10/15/9987

Docket No.  
133697-0006

19 SEP 2005

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit
Unknown	09/19/2005	Unknown	35684	Unknown

Invention: COENZYME Q10 CONTAINING PROLIPOSOME AND PREPARATION THEREOF

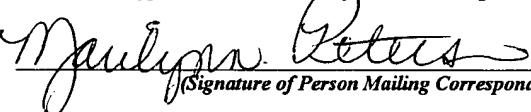
## I hereby certify that the following correspondence:

Express Mail Cover Letter, Transmittal Letter to the U.S. Designated/Elected Office Concerning a Filing Under 35 U.S.C. 371 (PCT-1390/PCT/US1) (2 pgs) - In Duplicate, PCT Publication of International Application No. PCT/CN2004/000234 (Publication No. PCTWO2004/082668 A1) (14 pgs), Translation of International Application No. PCT/CN2004/000234 (Publication No. PCTWO2004/082668 A1) into English Including Abstract, Specification, and Claims (12 pgs), Substitute Specification - Clean Copy (9 pgs), Substitute Specification - Marked-Up Copy (11 pgs), Preliminary Amendment (7 pgs), PCT/USA National Declaration and Power of Attorney (5 pgs), Written Opinion of the International Searching Authority in Chinese (PCE/ISA/237) (3 pgs), International Preliminary Report on Patentability, together with the Notification of Transmission (form PCT/IPEA/416 and form PCT/IPEA/409) in Chinese (4 pgs), Information Concerning Elected Offices Notified of Their Election (Form PCT/IB/332) (1 pg), Recordation Form Cover Sheet and Addendum (2 pgs), Assignment Document (2 pgs), Information Disclosure Statement (2 pgs), Information Disclosure Statement (PTO-1449) with Cited References, Fee Transmittal (1 pg) and Return Post Card

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under  
 Mail Stop PCT  
 37 CFR 1.10 in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA  
 22313-1450 on 09/19/2005  
 (Date)

Marilynn M. Peterson

(Typed or Printed Name of Person Mailing Correspondence)



(Signature of Person Mailing Correspondence)

EV 468352253 US

("Express Mail" Mailing Label Number)

Note: Each paper must have its own certificate of mailing.